

From algebra to logic: There and back again the story of a hierarchy

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- ▶ Algebra useful for classification (variety theory, Eilenberg *et al.*) and for decision algorithms
- ▶ FLT later also became useful in algebra and more ingredients came into FLT, especially topology: this will not appear today
- ▶ The hierarchy of languages discussed today, is an illustration of this interaction between FLT, algebra and logic

Logic and languages: which logic?

$$\begin{aligned} & \forall x \forall y ((x < y) \wedge \neg(\exists z x < z < y) \Rightarrow (R_a x \Leftrightarrow R_b y)) \\ & \wedge \forall x (\neg(\exists y y < x) \Rightarrow R_a x) \end{aligned}$$

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- ▶ As it turns out, many important properties of complex systems can be specified in FO
- ▶ hence the interest in understanding the expressive power, the algorithmic properties, etc. . . of FO and some of its fragments

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- ▶ Note: deciding whether an automaton accepts an FO-definable language is PSPACE-complete (Cho, Huynh, 1991)

Piecewise testable languages = only one level of quantifiers

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- ▶ PTIME decision given a deterministic automaton

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- ▶ A product $L = L_0 a_1 L_1 \cdots a_k L_k$ is *deterministic* if every word $u \in L$ has a unique prefix in $L_0 a_1 L_1 \cdots L_{i-1} a_i$ for $0 \leq i \leq k$

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 - ▶ The product $(b^* a^+) b A^*$ is deterministic but not visibly deterministic

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- ▶ *co-deterministic* and *visibly co-deterministic*: consider suffixes instead of prefixes
- ▶ Let $\mathcal{R}_1 = \mathcal{L}_1 =$ piecewise testable languages, and let $\mathcal{R}_{m+1} = \mathcal{L}_m^{det}$, $\mathcal{L}_{m+1} = \mathcal{R}_m^{co-det}$ — where \mathcal{V}^{det} is the class of all unions and intersections of deterministic products of languages in \mathcal{V}

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- ▶ $L = (b, c)^* bc^+ aA^* \in \mathcal{R}_3$ since $L = L_1 aA^*$, with $L_1 = (b, c)^* bc^+$ is a (visibly) deterministic product
- ▶ and $L_1 = (b, c)^* bc^+ = (b, c)^* bL_2$, with $L_2 = c^+$ is a (visibly) co-deterministic product and L_2 is piecewise testable

Decidability of membership problems in $\mathcal{R}_m, \mathcal{L}_m$

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- ▶ Moreover, if \mathbf{V} (resp. \mathbf{V}^{det}) is the class of finite monoids corresponding to \mathcal{V} (resp. \mathcal{V}^{det}), $\mathbf{V}^{det} = \mathbf{K} \textcircled{m} \mathbf{V}$, that is: a monoid M is in \mathbf{V}^{det} if and only if there exists a morphism $\varphi: M \rightarrow N$ with $N \in \mathbf{V}$ and for every idempotent $e \in N$, $\varphi^{-1}(e) \in \mathbf{K}$ (satisfies $x^\omega y = x^\omega$; is such that if $f = f^2$ and $s \in \varphi^{-1}(e)$, then $fs = f$)

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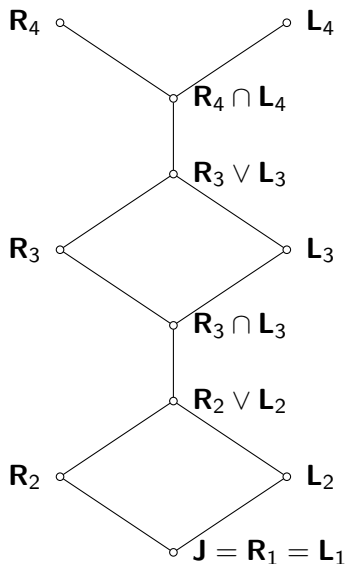
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- ▶ If \mathbf{V} is decidable, so are $\mathbf{K} \circledast \mathbf{V}$ and $\mathbf{D} \circledast \mathbf{V}$
- ▶ The classes \mathcal{R}_m and \mathcal{L}_m are varieties of languages with decidable membership

The lattice generated by the \mathcal{R}_m and \mathcal{L}_m



Second level: $\mathbf{R}_2 = \mathcal{R}$ -trivial
and $\mathbf{L}_2 = \mathcal{L}$ -trivial monoids

joins and meets don't agree

\mathbf{R}_m and \mathbf{L}_m decidable

Infinite hierarchy?

What is its union?

Where **DA** and **B** come into the picture

- ▶ Let **DA** be the class of finite monoids in which every regular element is idempotent [x is *regular* if there exists y such that $x = xyx$; x is *idempotent* if $x^2 = x$]

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- ▶ (Schützenberger 1976) $\mathbf{DA} = \mathbf{LI} \circledast \mathbf{J} = \mathbf{LI} \circledast \mathbf{DA}$, where **LI** is the class of semigroups S such that, if $e, s, f \in S$, $e = e^2$ and $f = f^2$, then $esf = ef$

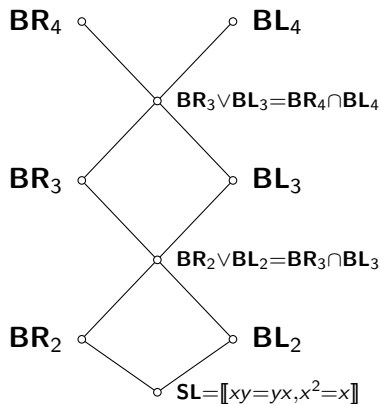
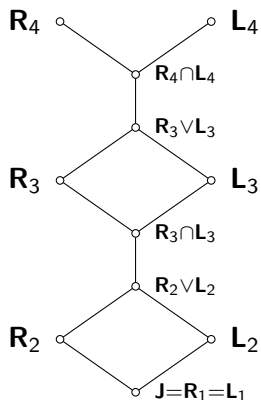
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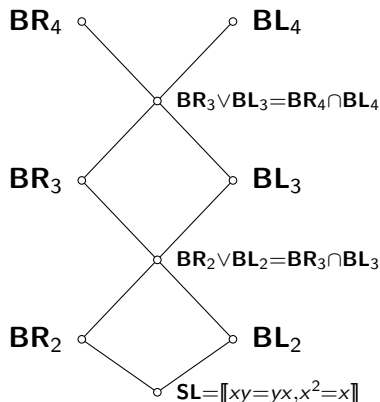
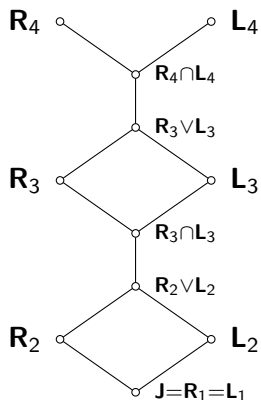
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- ▶ So $\mathbf{R}_m, \mathbf{L}_m \subseteq \mathbf{DA}$
- ▶ The lattice of the $\mathbf{R}_m, \mathbf{L}_m$ resembles the lattice of idempotent monoid varieties, i.e. the sub-varieties of $\mathbf{B} = \llbracket x^2 = x \rrbracket$ — completely described in the 1970s and 1980s (Birjukov, Fennemore, Gerhard, Petrich)

Two similar lattices



where $\mathbf{BR}_{m+1} = (\mathbf{K} \cap \mathbf{B}) \circledast \mathbf{BL}_m$ and $\mathbf{BL}_{m+1} = (\mathbf{D} \cap \mathbf{B}) \circledast \mathbf{BR}_m$

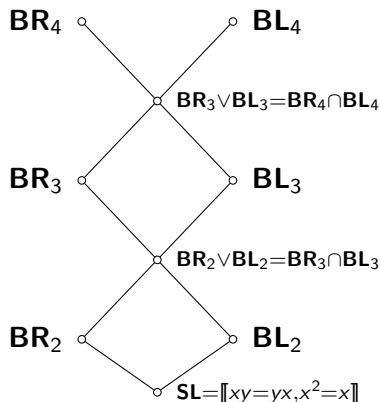
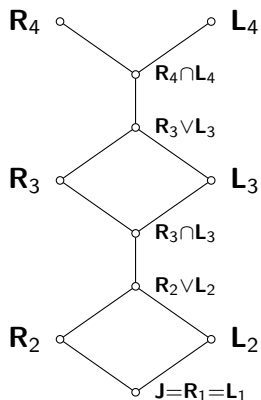
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The connection is provided by the map $\mathbf{V} \mapsto \mathbf{V} \cap \mathbf{B}$, on the subvarieties of \mathbf{DA}

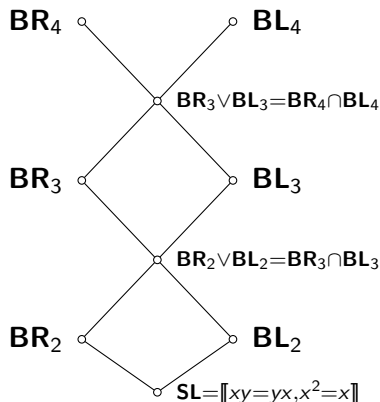
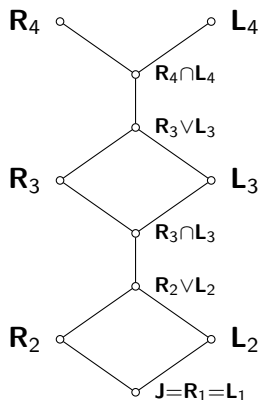
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(Trotter, W. 1997 + Kufleitner, W. 2012) \mathbf{R}_m is maximal such that $\mathbf{R}_m \cap \mathbf{B} = \mathbf{BR}_m$ – and dually for \mathbf{L}_m

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The union of the R_m , L_m is \mathbf{DA} and the hierarchy is infinite – but finite on each finite alphabet

Exploiting our knowledge of idempotent monoids (from the 1970s)

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 - ▶ That is: find the last letter to have its first occurrence in u from the left (resp. the right), then doing the same in the maximal prefixes and suffixes with alphabetical content one less letter, etc.

Rankers and the varieties \mathbf{R}_m and \mathbf{L}_m 1/3

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- ▶ Dual definition for $\underline{\mathbf{R}}_{m,n}^Y$
- ▶ The property “the same rankers from $\underline{\mathbf{R}}_{m,n}^X$ are well-defined on u and v ” (written $u \triangleright_{m,n} v$) is a finite index congruence. Dually for $\underline{\mathbf{R}}_{m,n}^Y$, written $u \triangleleft_{m,n} v$

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- ▶ and by the values in $FB(A)$ of $abca$ and $acba$

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- ▶ This yields a new characterization of the \mathbf{R}_m and \mathbf{L}_m (Kufleitner, W. 2012)
- ▶ **Theorem** \mathbf{R}_m is the variety of monoids generated by the $A^*/\triangleright_{m,n}$ ($n \geq m$), and \mathbf{L}_m is generated by the $A^*/\triangleleft_{m,n}$ ($n \geq m$). Moreover, $\mathcal{R}_{m+1} = \mathcal{L}_m^{v-det}$ and $\mathcal{L}_{m+1} = \mathcal{R}_m^{v-det}$

Back to logic: rankers and fragments of first-order logic

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- ▶ *Limitation of resources*: a formula is more or less *complex* if it requires a larger or smaller number of nested quantifiers; the quantifier alternation hierarchy
- ▶ *Limitation of resources*: a formula may use more or less variable symbols; at the cost of making the formula longer (Adler & Immerman 2002, Grohe & Schweikardt 2005)

First order logic with two variables

$$A^* a_1 A^* a_2 A^* a_3 A^* a_4 A^*$$

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$$\exists x R_{a_1} x \wedge \left(\exists y (x < y) \wedge R_{a_2} y \right.$$

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First order logic with two variables

- ▶ FO^2 is properly contained in $\mathbf{Ap} = FO = FO^3$
- ▶ FO^2 is a variety of languages: a language is FO^2 -definable if its syntactic monoid is in \mathbf{DA} (Thérien, Wilke, 1998)

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- ▶ **Questions:** Is the quantifier alternation hierarchy infinite? Can we characterize its levels? Can we decide membership in its levels?
- ▶ A collection of results of Schwentick, Thérien, Vollmer (2001); Weis, Immermann (2007,2009); Kufleitner, Weil (2009, 2012); Straubing and Krebs (2011, 2012)

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- ▶ (combinatorially highly non trivial)

The final result

Theorem

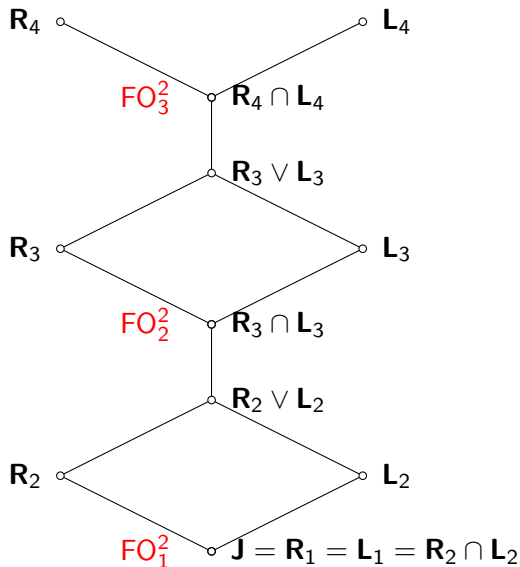
$$FO_m^2 = \mathbf{R}_{m+1} \cap \mathbf{L}_{m+1}$$

FO_m^2 is decidable

the hierarchy collapses

at level $m + 1$

on an m -letter alphabet



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- ▶ **Theorem** (Straubing, 2011) For each m , $\mathbf{V}_m = \text{FO}_m^2$
- ▶ **Corollary** (Straubing and Krebs, 2012) Each FO_m^2 is decidable

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- ▶ where $g_1 = x_2x_1$, $h_1 = x_2x_1x_2$
 $\underline{g}_{m+1} = x_{m+1}\overline{g}_m$, $\underline{h}_{m+1} = \underline{g}_{m+1}x_{m+1}\overline{h}_m$
 $\varphi: x_1 \mapsto (x_1^\omega x_2^\omega x_1^\omega)^\omega$ $x_2 \mapsto x_2^\omega$ $x_{m+1} \mapsto (x_{m+1}^\omega \varphi(\underline{g}_m \overline{g}_m)^\omega x_{m+1}^\omega)^\omega$

Thank you for your attention!