

Closure Properties of Pattern Languages

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Basic Definitions and Notation

Σ

Terminals

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Σ	<i>Terminals</i>	$\{a, b, c\}$
X	<i>Variables</i>	$\{x_1, x_2, x_3, \dots\}$
$w \in \Sigma^*$	<i>Word</i>	abaacba
$\alpha \in (\Sigma \cup X)^+$	<i>Pattern</i>	$\alpha := x_1 a x_2 x_1 b a x_2 x_1 x_3$

Pattern Languages

Morphism Mapping $h : \Gamma_1^* \rightarrow \Gamma_2^*$ with $h(x \cdot y) = h(x) \cdot h(y)$;
 h is **nonerasing** iff, for every $a \in \Gamma_1$, $h(a) \neq \varepsilon$.

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E-pattern lang. $L_{E,\Sigma}(\alpha) := \{h(\alpha) \mid h \text{ is a substitution}\}$.

NE-pattern lang. $L_{NE,\Sigma}(\alpha) := \{h(\alpha) \mid h \text{ is nonerasing substitution}\}$.

An Example

$$\alpha = x_1 \text{ aa } x_2 \text{ } x_1 \text{ } x_2 \text{ cb } x_1$$

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$\alpha = x_1$ **aa** x_2 x_1 x_2 **cb** x_1

ac**aa**abcbaacabcbac**cb**ac

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$h(\alpha) = \text{acaaabcbaacabcbacbac} \in L_{\text{NE}, \{a,b,c\}}(\alpha)$,
where $h(x_1) = \text{ac}$, $h(x_2) = \text{abcba}$, $(h(a) = \text{a}, h(b) = \text{b})$.

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- Relations to combinatorics on words: pattern avoidability, ambiguity of morphisms, word equations, equality sets.

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Problem	Complexity
Membership	NP-complete

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Closure Properties

Angluin 1979:

Pattern Languages are **not closed** under

- union $L_{NE,\Sigma}(a) \cup L_{NE,\Sigma}(b) = \{a, b\}$
- intersection $L_{NE,\Sigma}(a) \cap L_{NE,\Sigma}(b) = \emptyset$
- complement $\{a, b\}^* \setminus L_{NE,\Sigma}(a)$
- Kleene plus $(L_{NE,\{a,b\}}(a))^* ((L_{NE,\{a,b\}}(a))^+)$
- homomorphism $h(L_{NE,\{a,b\}}(x)) = (L(a))^+, h(a) = h(b) = a$
- inv. homo. $g^{-1}(L_{NE,\{a,b\}}(aaa)) = \{aaa, ab, ba\}, g(a) = a, g(b)$

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Pattern Languages are **closed** under

- concatenation $L(\alpha) \cdot L(\beta) = L(\alpha \cdot \beta)$
- reversal $(L(\alpha))^R = L(\alpha^R)$

Motivation for Investigating Closure Properties

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- In the case of pattern languages the existing closure properties fail to contribute to our understanding of their intrinsic properties.
- All examples for non-closure require terminal symbols in the patterns (what about the closure of **terminal-free** pattern languages).
- Can we characterise those pairs (α, β) of patterns, for which $L(\alpha) \cup L(\beta)$ or $L(\alpha) \cap L(\beta)$ are pattern languages?

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Let $\Sigma = \{a, b\}$ and $\alpha = x_1x_2x_2x_1x_3x_1$.

$$\beta_1 = x_1x_2x_2x_1x_3x_1,$$

$$\beta_2 = x_2x_2x_3,$$

$$\beta_3 = x_1x_1x_3x_1,$$

$$\beta_4 = x_1x_2x_2x_1x_1,$$

$$\beta_5 = x_3,$$

$$\beta_6 = x_2x_2,$$

$$\beta_7 = x_1x_1x_1.$$

$$L_{E,\Sigma}(\alpha) = \bigcup_{i=1}^6 L_{NE,\Sigma}(\beta_i).$$

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$$\gamma_1 = ax_1ax_2ax_2ax_1ax_3ax_1,$$

$$\gamma_2 = bx_1ax_2ax_2bx_1ax_3bx_1,$$

$$\gamma_3 = ax_1bx_2bx_2ax_1ax_3ax_1,$$

$$\gamma_4 = ax_1ax_2ax_2ax_1bx_3ax_1,$$

$$\gamma_5 = ax_1bx_2bx_2ax_1bx_3ax_1,$$

$$\gamma_6 = bx_1ax_2ax_2bx_1bx_3bx_1,$$

$$\gamma_7 = bx_1bx_2bx_2bx_1ax_3bx_1,$$

$$\gamma_8 = bx_1bx_2bx_2bx_1bx_3bx_1.$$

$$L_{NE,\Sigma}(\alpha) = \bigcup_{i=1}^8 L_{E,\Sigma}(\gamma_i).$$

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$$L_{NE,\Sigma}(\alpha) = \bigcup_{i=1}^8 L_{E,\Sigma}(\gamma_i).$$

Is this the only way of how unions of E- or unions of NE- pattern languages can be a NE- or a E-pattern languages, respectively?

Closure of Terminal-Free Pattern Languages

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- ... have better decidability properties (inclusion and equivalence is decidable in the E-case).
- ... have open closure properties.

Union of Terminal-Free Pattern Languages

Theorem

Let $Z, Z' \in \{E, NE\}$ and α, β, γ patterns.

$$L_{Z,\Sigma}(\alpha) \cup L_{Z,\Sigma}(\beta) = L_{Z',\Sigma}(\gamma)$$



$L_{Z,\Sigma}(\alpha) \subseteq L_{Z,\Sigma}(\beta)$ and $L_{Z,\Sigma}(\beta) = L_{Z',\Sigma}(\gamma)$ or
 $L_{Z,\Sigma}(\beta) \subseteq L_{Z,\Sigma}(\alpha)$ and $L_{Z,\Sigma}(\alpha) = L_{Z',\Sigma}(\gamma)$.

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\Rightarrow full characterisation of $L_Z(\alpha) \cup L_Z(\beta) = L_{Z'}(\gamma)$, $Z, Z' \in \{E, NE\}$.

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\Rightarrow full characterisation of $L_Z(\alpha) \cup L_Z(\beta) = L_{Z'}(\gamma)$, $Z, Z' \in \{E, NE\}$.

Inclusion is decidable for terminal-free E-pattern languages, but still open for terminal-free NE-pattern languages

Intersection of Terminal-Free Pattern Languages

Theorem

Let $Z \in \{E, NE\}$. Then $L_{Z,\Sigma}(x_1x_1) \cap L_{Z,\Sigma}(x_1x_1x_1) = L_{Z,\Sigma}(x_1^6)$.

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$L_{NE,\Sigma}(x_1x_2x_1) \cap L_{NE,\Sigma}(x_1x_1x_2)$ is not a terminal-free NE-pattern language.

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$L_{E,\Sigma}(x_1x_2x_1^2x_2x_1^3x_2^2) \cap L_{E,\Sigma}(x_3x_4^2x_3^2x_4^6x_3^3)$ is not a tf-E-pattern language.

Proof Sketch

Let $\alpha = x_1 x_2 x_1^2 x_2 x_1^3 x_2^2$ and $\beta = x_3 x_4^2 x_3^2 x_4^6 x_3^3$.

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$L_{E,\Sigma}(\alpha) \cap L_{E,\Sigma}(\beta)$ equals the solutions of

$$x_1 x_2 x_1 x_1 x_2 x_1 x_1 x_1 x_2 x_2 = x_3 x_4 x_4 x_3 x_3 x_4 x_4 x_4 x_4 x_4 x_4 x_3 x_3 x_3 .$$

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$L_{E,\Sigma}(\alpha) \cap L_{E,\Sigma}(\beta)$ equals the solutions of

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\Rightarrow all solutions to the equations are periodic.

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Lemma: If $\alpha = \beta$ has only periodic solutions and $L_{E,\Sigma}(\alpha) \cap L_{E,\Sigma}(\beta)$ is a terminal-free E-pattern language, then $a^k \in L_{E,\Sigma}(\alpha) \cap L_{E,\Sigma}(\beta)$ implies $k = \ell|w|$ for some $\ell \geq 1$.

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Since a^6 is the shortest element in $L_{E,\Sigma}(\alpha) \cap L_{E,\Sigma}(\beta)$ and $a^8 \in L_{E,\Sigma}(\alpha) \cap L_{E,\Sigma}(\beta)$, we obtain a contradiction.

Other Closure Properties of TF Pattern Languages

Theorem

Let $|\Sigma| \geq 2$. The terminal-free NE- and E-pattern languages, with respect to Σ , are not closed under

- morphisms,
- inverse morphisms,
- Kleene plus and
- Kleene star.

Theorem

For every terminal-free pattern α , the complement of $L_{E,\Sigma}(\alpha)$ is not a terminal-free E-pattern language and the complement of $L_{NE,\Sigma}(\alpha)$ is not a terminal-free NE-pattern language.

Closure Properties of General Pattern Languages

Closure under complement is fully characterised:

Theorem

For every pattern α , the complement of $L_{E,\Sigma}(\alpha)$ is not an E-pattern language and the complement of $L_{NE,\Sigma}(\alpha)$ is not a NE-pattern language.

Main Research Question

For $Z, Z' \in \{E, NE\}$ and $\circ \in \{\cup, \cap\}$, are there α, β such that

- $L_{Z,\Sigma}(\alpha) \circ L_{Z,\Sigma}(\beta)$ is not a Z' -pattern language? ✓
- $L_{Z,\Sigma}(\alpha) \circ L_{Z,\Sigma}(\beta)$ is a Z' -pattern language?

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- $L_{Z,\Sigma}(\alpha) \circ L_{Z,\Sigma}(\beta)$ is a Z' -pattern language?

Characterise the α, β for which $L_{Z,\Sigma}(\alpha) \circ L_{Z,\Sigma}(\beta)$ is a Z' -pattern language?

Intersection of General Pattern Languages

There are simple examples for the situation that

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Open:

- Are there α, β , such that $L_{E,\Sigma}(\alpha) \cap L_{E,\Sigma}(\beta)$ is NE-pattern language?

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Open:

- Are there α, β , such that $L_{E,\Sigma}(\alpha) \cap L_{E,\Sigma}(\beta)$ is NE-pattern language?
- Characterisations?

Union of General Pattern Languages

There are simple examples for the situation that

- $L_{NE,\Sigma}(\alpha) \cup L_{NE,\Sigma}(\beta)$ is an NE-pattern language.
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Union of General Pattern Languages

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Examples for the situation that $L_{E,\Sigma}(\alpha) \cup L_{E,\Sigma}(\beta)$ is an E-pattern language exist, but are much more complicated.

Union of General Pattern Languages

Example for “ $E \cup E = E$ ” and alphabet size 2:

$$\Sigma = \{a, b\},$$

$$\alpha = x_1 a x_2 b x_2 a x_3,$$

$$\beta = x_1 a x_2 b b x_2 a x_3,$$

$$\gamma = x_1 a x_2 b x_3 a x_4.$$

$$L_{E, \Sigma}(\alpha) \cup L_{E, \Sigma}(\beta) = L_{E, \Sigma}(\gamma),$$

$$L_{E, \Sigma}(\alpha) \not\subseteq L_{E, \Sigma}(\beta),$$

$$L_{E, \Sigma}(\beta) \not\subseteq L_{E, \Sigma}(\alpha).$$

Union of General Pattern Languages

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Proof sketch:

$$L_{E, \Sigma}(\alpha) \cup L_{E, \Sigma}(\beta) = L_{E, \Sigma}(\gamma),$$

$$L_{E, \Sigma}(\alpha) \not\subseteq L_{E, \Sigma}(\beta),$$

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Union of General Pattern Languages

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$$L_{E,\Sigma}(\alpha) \subseteq L_{E,\Sigma}(\gamma) \text{ and}$$

$$L_{E,\Sigma}(\beta) \subseteq L_{E,\Sigma}(\gamma) \text{ is obvious.}$$

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Union of General Pattern Languages

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$$L_{E,\Sigma}(\alpha) \not\subseteq L_{E,\Sigma}(\beta),$$

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Let $w \in L_{E,\Sigma}(\gamma)$

Union of General Pattern Languages

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Proof sketch:

$$L_{E, \Sigma}(\alpha) \subseteq L_{E, \Sigma}(\gamma) \text{ and}$$

$$L_{E, \Sigma}(\beta) \subseteq L_{E, \Sigma}(\gamma) \text{ is obvious.}$$

$$\text{Let } w \in L_{E, \Sigma}(\gamma)$$

$$w = u a b^n a v,$$

Union of General Pattern Languages

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Proof sketch:

$$L_{E,\Sigma}(\alpha) \subseteq L_{E,\Sigma}(\gamma) \text{ and}$$

$$L_{E,\Sigma}(\beta) \subseteq L_{E,\Sigma}(\gamma) \text{ is obvious.}$$

$$\text{Let } w \in L_{E,\Sigma}(\gamma)$$

$$w = u a b^n a v,$$

$$n \text{ is even} \Rightarrow w \in L_{E,\Sigma}(\beta).$$

Union of General Pattern Languages

Example for “ $E \cup E = E$ ” and alphabet size 2:

$$\Sigma = \{a, b\},$$

$$\alpha = x_1 a x_2 b x_2 a x_3,$$

$$\beta = x_1 a x_2 b b x_2 a x_3,$$

$$\gamma = x_1 a x_2 b x_3 a x_4.$$

$$L_{E,\Sigma}(\alpha) \cup L_{E,\Sigma}(\beta) = L_{E,\Sigma}(\gamma),$$

$$L_{E,\Sigma}(\alpha) \not\subseteq L_{E,\Sigma}(\beta),$$

$$L_{E,\Sigma}(\beta) \not\subseteq L_{E,\Sigma}(\alpha).$$

Proof sketch:

$$L_{E,\Sigma}(\alpha) \subseteq L_{E,\Sigma}(\gamma) \text{ and}$$

$$L_{E,\Sigma}(\beta) \subseteq L_{E,\Sigma}(\gamma) \text{ is obvious.}$$

$$\text{Let } w \in L_{E,\Sigma}(\gamma)$$

$$w = u a b^n a v,$$

$$n \text{ is even} \Rightarrow w \in L_{E,\Sigma}(\beta).$$

$$n \text{ is odd} \Rightarrow w \in L_{E,\Sigma}(\alpha).$$

Union of General Pattern Languages

Example for “ $E \cup E = E$ ” and alphabet size 3:

$$\Sigma = \{a, b, c\},$$

$$\alpha = x_1 a x_2 x_3^6 x_4^3 x_5^6 x_6 b x_7 a x_2 x_8^{12} x_4^6 x_9^{12} x_6 b x_{10},$$

$$\beta = x_1 a x_2 x_3^6 x_4^2 x_5^5 x_6^6 x_7 b x_8 a x_2 x_9^{12} x_4^4 x_5^{10} x_{10}^{12} x_7 b x_{11},$$

$$\gamma = x_1 a x_2 x_3^6 x_4^2 x_5^3 x_6^6 x_7 b x_8 a x_2 x_9^{12} x_4^4 x_5^6 x_{10}^{12} x_7 b x_{11}.$$

$$L_{E,\Sigma}(\alpha) \cup L_{E,\Sigma}(\beta) = L_{E,\Sigma}(\gamma),$$

$$L_{E,\Sigma}(\alpha) \not\subseteq L_{E,\Sigma}(\beta),$$

$$L_{E,\Sigma}(\beta) \not\subseteq L_{E,\Sigma}(\alpha).$$

Union of General Pattern Languages

Example for “ $E \cup E = E$ ” and alphabet size 4:

$$\Sigma = \{a, b, c, d\},$$

$$\begin{aligned} \alpha &:= x_1 a x_2 x_3^2 x_4^2 x_5^2 x_6 b x_7 a x_2 x_8^2 x_4^2 x_9^2 x_6 b \\ &\quad x_{10} c x_{11} x_{12}^2 x_{13}^2 x_{14}^2 x_{15}^2 x_{16} d x_{17} c x_{11} x_{18}^2 x_{13}^2 x_{14}^2 x_{19}^2 x_{16} d \\ &\quad x_{20} x_{13}^2 x_{14}^2 x_{13}^2 x_{14}^2 x_{13}^2 x_{14}^2 x_{21} x_4^6, \end{aligned}$$

$$\begin{aligned} \beta &:= x_1 a x_2 x_3^2 x_4^2 x_5^2 x_6^2 x_7 b x_8 a x_2 x_9^2 x_4^2 x_5^2 x_{10}^2 x_7 b \\ &\quad x_{11} c x_{12} x_{13}^2 x_{14}^2 x_{15}^2 x_{16} d x_{17} c x_{12} x_{18}^2 x_{14}^2 x_{19}^2 x_{16} d \\ &\quad x_{20} x_{14}^6 x_{21} x_4^2 x_5^2 x_4^2 x_5^2 x_4^2 x_5^2 \text{ and} \end{aligned}$$

$$\begin{aligned} \gamma &:= x_1 a x_2 x_3^2 x_4^2 x_5^2 x_6^2 x_7 b x_8 a x_2 x_9^2 x_4^2 x_5^2 x_{10}^2 x_7 b \\ &\quad x_{11} c x_{12} x_{13}^2 x_{14}^2 x_{15}^2 x_{16}^2 x_{17} d x_{18} c x_{12} x_{19}^2 x_{14}^2 x_{15}^2 x_{20}^2 x_{17} d \\ &\quad x_{21} x_{14}^2 x_{15}^2 x_{14}^2 x_{15}^2 x_{14}^2 x_{15}^2 x_{22} x_4^2 x_5^2 x_4^2 x_5^2 x_4^2 x_5^2. \end{aligned}$$

$$L_{E,\Sigma}(\alpha) \cup L_{E,\Sigma}(\beta) = L_{E,\Sigma}(\gamma), \quad L_{E,\Sigma}(\alpha) \not\subseteq L_{E,\Sigma}(\beta), \quad L_{E,\Sigma}(\beta) \not\subseteq L_{E,\Sigma}(\alpha).$$

Necessary Condition for $E \cup E = E$

$$\alpha = \alpha_0 u_1 \alpha_1 u_2 \alpha_2 \dots \alpha_{n-1} u_n,$$

$$\beta = \beta_0 v_1 \beta_1 v_2 \beta_2 \dots \beta_{m-1} v_m,$$

$$\gamma = \gamma_0 w_1 \gamma_1 w_2 \gamma_2 \dots \gamma_{m-1} w_k,$$

$$\alpha_i, \beta_i, \gamma_i \in X^+, \quad u_i, v_i, w_i \in \Sigma^+.$$

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$$\alpha = \alpha_0 u_1 \alpha_1 u_2 \alpha_2 \dots \alpha_{n-1} u_n,$$

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$$\gamma = \gamma_0 w_1 \gamma_1 w_2 \gamma_2 \dots \gamma_{m-1} w_k,$$

$$\alpha_i, \beta_i, \gamma_i \in X^+, u_i, v_i, w_i \in \Sigma^+.$$

$$L_{E,\Sigma}(\alpha) \cup L_{E,\Sigma}(\beta) = L_{E,\Sigma}(\gamma)$$



$w_0 w_1 \dots w_k = u_0 u_1 \dots u_k$ and $w_0 w_1 \dots w_k$ subsequence of $v_0 v_1 \dots v_k$ or

$w_0 w_1 \dots w_k = v_0 v_1 \dots v_k$ and $w_0 w_1 \dots w_k$ subsequence of $u_0 u_1 \dots u_k$

Necessary Condition for $NE \cup NE = NE$

Let $\{a, b\} \subseteq \Sigma$, let α , β and γ be patterns with neither

- $L_{NE, \Sigma}(\alpha) \subseteq L_{NE, \Sigma}(\beta)$, $\beta = \gamma$ nor
- $L_{NE, \Sigma}(\beta) \subseteq L_{NE, \Sigma}(\alpha)$, $\alpha = \gamma$.

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- $L_{NE, \Sigma}(\beta) \subseteq L_{NE, \Sigma}(\alpha)$, $\alpha = \gamma$.

$$L_{NE, \Sigma}(\alpha) \cup L_{NE, \Sigma}(\beta) = L_{NE, \Sigma}(\gamma)$$

\implies

$$|\Sigma| = 2$$

$$\alpha = \delta_0 \mathbf{a} \delta_1 \mathbf{a} \delta_2 \dots \delta_{m-1} \mathbf{a} \delta_m,$$

$$\beta = \delta_0 \mathbf{b} \delta_1 \mathbf{b} \delta_2 \dots \delta_{m-1} \mathbf{b} \delta_m,$$

$$\gamma = \delta_0 \mathbf{x} \delta_1 \mathbf{x} \delta_2 \dots \delta_{m-1} \mathbf{x} \delta_m,$$

where $m \geq 1$, $\delta_i \in (X \cup \Sigma)^*$, $0 \leq i \leq m$.

Characterisations for $NE \cup NE = E$

Let $|\Sigma| \geq 2$, let α , β and γ be patterns.

Characterisations for $NE \cup NE = E$

Let $|\Sigma| \geq 2$, let α, β and γ be patterns.

$$L_{NE, \Sigma}(\alpha) \cup L_{NE, \Sigma}(\beta) = L_{E, \Sigma}(\gamma)$$



$$\alpha = u_1 u_2 \dots u_{m+1} \in \Sigma^+ \text{ and } \beta = \gamma = u_1 x^{j_1} u_2 x^{j_2} \dots x^{j_m} u_{m+1}, j_i \in \mathbb{N}_0.$$

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Let $|\Sigma| \geq 2$, let α, β and γ be patterns.

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This corresponds to the canonical way of expressing E-pattern languages by unions of NE-pattern languages.

Characterisations for $E \cup E = NE$

Let $\{a_1, a_2, \dots, a_\ell\} \subseteq \Sigma$, $\ell \geq 2$, let $\alpha_1, \alpha_2, \dots, \alpha_\ell, \gamma$ be patterns with $L_{E, \Sigma}(\alpha_i) \neq L_{E, \Sigma}(\alpha_j)$.

Characterisations for $E \cup E = NE$

Let $\{a_1, a_2, \dots, a_\ell\} \subseteq \Sigma$, $\ell \geq 2$, let $\alpha_1, \alpha_2, \dots, \alpha_\ell, \gamma$ be patterns with $L_{E, \Sigma}(\alpha_i) \neq L_{E, \Sigma}(\alpha_j)$.

$$\bigcup_{i=1}^{\ell} L_{E, \Sigma}(\alpha_i) = L_{NE, \Sigma}(\gamma)$$



$$\Sigma = \{a_1, a_2, \dots, a_\ell\}$$

$$\gamma = u_1 x u_2 x u_3 \dots u_k x u_{k+1}$$

$$\alpha_i = u_1 \alpha'_i a_i \alpha''_i u_2 \alpha'_i a_i \alpha''_i u_3 \dots u_k \alpha'_i a_i \alpha''_i u_{k+1}$$

$$\alpha'_i, \alpha''_i \in X^* \text{ and,}$$

there exists a variable y_i with exactly one occurrence in $\alpha'_i a_i \alpha''_i$.

Thank you very much for your attention.